

DDH Managed Funds Additional Application Form



This form is only for existing investors.

Complete this form if you are an existing investor making additional applications by cheque or Electronic Funds Transfer (EFT).

- Cheques should be made payable to 'DDH Graham Limited Application Account'.
- Otherwise, transfer monies to the following account:

Account Name: DDH Graham Limited Application Account

Bank: Bank of Queensland

BSB: 124-001

Account Number: 998751333

Please refer to the latest Product Disclosure Statement (PDS) for the DDH Managed Fund/s, available from www.ddhgraham.com.au or by phoning 1800 226 174.

Please complete form using CAPITAL letters. Complete ALL sections.

1. Your existing DDH Graham Limited investor details

Account number

Contact name

Contact number

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2. Where would you like your additional investment(s) to be invested?

Funds (minimum additional investment \$500 for existing investments or \$2,000 when adding a new fund to your existing account)*

	\$ Amount
DDH Aggressive Growth Fund	\$.
DDH Australian Shares Fund	\$.
DDH Balanced Growth Fund	\$.
DDH Cash Fund	\$.
DDH Conservative Growth Fund	\$.
DDH Fixed Interest Fund	\$.
DDH Preferred Income Fund	\$.
GCI Australian Capital Stable Fund	\$.
Selector Australian Equities Fund	\$.
Your total investment comes to:	\$.

Your current distribution method will apply. If you wish to change your distribution selection please complete a Change of Details Form available from www.ddhgraham.com.au or by phoning 1800 226 174.

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3. Automatic of Exchange of Information

Australia participates in Automatic of Exchange of Information (AEOI) regimes concerning the automatic exchange of financial account information with a number of foreign jurisdictions. These regimes are known as the Foreign Tax Compliance Act (FATCA) in the case of exchange by Australia with the United States of America and the Common Reporting Standard (CRS or Standard) in the case of exchange by Australia with other countries that have implemented the Standard.

CRS and FATCA obligations are imposed on Australian financial institutions (AFI's) through the operation of the Taxation Administration Act 1953. A requirement of the legislation implementing FATCA and CRS is that AFI's must collect and report specified information to the Australian Taxation Office (ATO).

Each of the DDH Managed Funds is expected to be subject to the FATCA and CRS obligations. Section 15 sets out the information required to be collected by DDH and all applicable applicants must provide responses to the questions in this section as appropriate. If you do not provide the information requested, we will not be able to process your application.

DDH is not able to provide tax advice and cannot determine the impact or compliance obligations of FATCA or CRS on investors. DDH strongly encourages investors to seek the advice of an experienced tax advisor to determine what actions, if any, investors need to consider.

Please complete this section if an individual or beneficial owner* that are party to this application has an overseas tax status.

If the Individual or Entity is a tax resident of any other country outside of Australia, please indicate the country(ies) in which they are a resident for tax purposes and each country's associated Tax Identification Number (TIN).

If a TIN is not available, please tick the appropriate reasons.

Name

Country

Tax Identification Number (TIN)

Reason if no TIN provided (✓)

Foreign TIN not issued by this country Individual is under age Foreign TIN pending issue by the country's tax authority

Name

Country

Tax Identification Number (TIN)

Reason if no TIN provided (✓)

Foreign TIN not issued by this country Individual is under age Foreign TIN pending issue by the country's tax authority

Name

Country

Tax Identification Number (TIN)

Reason if no TIN provided (✓)

Foreign TIN not issued by this country Individual is under age Foreign TIN pending issue by the country's tax authority

*A beneficial owner is any individual who has control (direct or indirectly) of an entity. Control includes the capacity to influence the way the entity conducts its affairs through trusts, formal or informal agreements, arrangements, understandings and practices:

- For companies, where an individual who owns 25% or more (directly or indirectly) or has control (directly or indirectly) of the company.
- For partnerships this includes but is not limited to partners who hold 25% or more beneficial interest in the partnership.
- For unincorporated/incorporated association/cooperative entity this includes but is not limited to the Chairman (or equivalent), secretary or treasurer who holds 25% or more beneficial interest in the entity.
- For trusts this includes but is not limited to beneficiaries who hold more than 25% or more beneficial interest in the trust property and the appointer who has the power to appoint or remove trustee of the trust.

Note: if there is insufficient space to complete this section, please photocopy this section to provide additional details.

Additional Application Form continued

4. Adviser Remuneration

Contribution Fee

Please specify the contribution fee the applicant is to pay on investment in the Fund(s) with a contribution fee payable.

Initial entry fee (**GST inclusive**). If no amount is nominated, Nil will apply.

Nil

% Maximum fee is 3.3% GST inclusive for all funds except the DDH Preferred Income Fund. The maximum contribution fee for the DDH Preferred Income Fund is 1.1% GST inclusive.

Ongoing Adviser Service fee

Please specify the ongoing adviser service fee the applicant is to pay from their investment in the Fund(s).

Ongoing adviser service fee (**GST inclusive**). If no amount is nominated, Nil will apply.

Nil

% Maximum fee is 2.2% GST inclusive for all funds except the DDH Preferred Income Fund. The maximum ongoing adviser service fee for the DDH Preferred Income Fund is 0.55% GST inclusive.

Adviser name

Adviser address

Adviser email

Adviser telephone

Adviser signature

Applicant signature/s

Adviser Stamp

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AFSL number

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Rep Code

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Additional Application Form continued

5. Applicant acknowledgement and signature(s) ALL APPLICANTS MUST COMPLETE THIS SECTION

(a) Applicant acknowledgment

I/We agree to be bound by the provisions of the Constitution that governs the Fund/s in which I/we apply to invest as amended from time to time.
 I/We have read the Product Disclosure Statement ('PDS') for the DDH Managed Fund/s.
 I/We agree that if we use the facsimile service I/we will be bound by the terms and conditions applicable to the facility as set out in the Additional Information Guide, as amended from time to time.
 I/We acknowledge that DDH Graham Limited ('DDH') may accept instruction from any one investor in a joint unitholding, unless I/we have specified otherwise.
 I/We acknowledge and agree to promptly repay any withdrawal, distribution or other payment notified by the Responsible Entity to me/us which has been made in error.
 I/We understand that information concerning me/us collected from any source, including any information:

- contained in this Additional Application Form
- contained in the AML/CTF Checklist or provided with that form
- relating to the outcome of this form
- relating to my death or insolvency
- which otherwise incorporates any DDH references for any of my/our accounts with DDH

may be made available or used by my/our adviser whose details appear on this form, DDH or any entity carrying out functions on behalf of DDH for the purposes of:

- enabling DDH to process my/our application and administer the products or services DDH supplies to me/us
- DDH offering other services or products which may be of interest to me/us unless I/we request in writing that DDH does not do so
- prevention and detection of money laundering and terrorist financing
- verifying my/our identity and customer due diligence purposes, or
- any other purpose authorised by law.

I/We also understand that failure to provide information required in this form may affect the success of this additional application or any further application I/we may make to DDH.
 I/We can amend any personal information that DDH holds about me/us or find out what information DDH holds about me/us, by contacting the Privacy Officer, DDH Graham Limited, GPO Box 330,

Brisbane Qld 4001 at any time in writing.
 While DDH will take all reasonable steps to protect information that I/we provide, DDH cannot guarantee the security of certain types of information provided by me/us (for example over the internet or by email).
 I/We hereby consent for DDH to collect, retain, use, store and disclose such information as detailed before.
 I/We hereby certify that I/we am/are not a foreign shell bank (a bank that does not maintain a physical presence in any country).
 I/We hereby certify that I/we am/are not a US Person(s) (as defined below) nor do I/we act on behalf of or for the benefit of any US Person and I/we agree to notify DDH immediately if I/we become a US Person.
 Further, I/we understand and agree that the Units described in the PDS may not be offered to a US Person (as defined below) and I/ we will not, subject to the discretion of DDH, at any time cause my/ our Units to be sold or transferred, directly or indirectly to or for the benefit of a US Person.
 US Persons include:

- any natural person resident in the United States;
- any partnership or corporation organised or incorporated under the laws of the United States;
- any estate of which any executor or administrator is a US Person;
- any trust of which any trustee is a US Person;
- any agency or branch of a foreign entity located in the United States;
- any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US Person;
- any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated or (if an individual) resident in the United States; and
- any partnership or corporation if (a) organised or incorporated under the laws of any foreign jurisdiction and (b) formed by a US Person principally for the purpose of investing in securities not registered under the US Securities Act of 1933, as amended, unless it is organised or incorporated, and owned, by 'accredited investors' (within the meaning given to such term in Regulation D under the Securities Act) who are not natural persons, estates or trusts.

(b) Applicant signatures

1st Individual applicant OR director OR office bearer (company signatories must include their company title)

Capacity (if company)	<input type="checkbox"/> Director	<input type="checkbox"/> Sole Director and Sole Secretary
Signature	SIGN HERE	
	Date signed	<input type="text"/> / <input type="text"/> / <input type="text"/>
Full name	<input type="text"/>	

2nd Joint individual applicant OR director/secretary OR office bearer (company signatories must include their company title)

Capacity (if company)	<input type="checkbox"/> Director	<input type="checkbox"/> Secretary
Signature	SIGN HERE	
	Date signed	<input type="text"/> / <input type="text"/> / <input type="text"/>
Full name	<input type="text"/>	

- Companies signing by duly authorised representatives must provide appropriate documentation showing the proper appointment of the representatives to DDH Graham Limited.
- If signed under Power of Attorney, the attorney hereby certifies that no notice of revocation of that power has been received by the attorney.
- Applications by clubs, charities, churches or unincorporated bodies must be signed by the authorised office bearers (e.g. A. Smith - President) and a copy of the Constitution/Rules attached.

Return your completed Additional Application Form to:

**DDH Graham Limited
 Reply Paid 330
 Brisbane QLD 4001**