

# DDH Managed Funds Switching Form



## This form is only for investors

Complete this form if you are an existing investor who wishes to switch all or part of your existing investment in a DDH Managed Fund to another DDH Managed Fund.

Please refer to the latest Product Disclosure Statement (PDS) for the DDH Managed Fund's, available from [www.ddhgraham.com.au](http://www.ddhgraham.com.au) or by phoning 1800 226 174.

Please complete form using CAPITAL letters.

## 1. Your existing DDH Graham Limited investor details

Account Number

Contact name

Contact number

## 2. Switching money to a different fund (minimum switch \$500\*)

| Name of Fund                       | Switch from   |                          | Switch to     |                          |
|------------------------------------|---------------|--------------------------|---------------|--------------------------|
|                                    | Dollar Amount | OR Units or % of Holding | Dollar Amount | OR Units or % of Holding |
| DDH Aggressive Growth Fund         | \$            |                          | \$            |                          |
| DDH Australian Shares Fund         | \$            |                          | \$            |                          |
| DDH Balanced Growth Fund           | \$            |                          | \$            |                          |
| DDH Cash Fund                      | \$            |                          | \$            |                          |
| DDH Conservative Growth Fund       | \$            |                          | \$            |                          |
| DDH Fixed Interest Fund            | \$            |                          | \$            |                          |
| DDH Preferred Income Fund          | \$            |                          | \$            |                          |
| GCI Australian Capital Stable Fund | \$            |                          | \$            |                          |
| Selector Australian Equities Fund  | \$            |                          | \$            |                          |

Your current distribution method will apply. If you wish to change your distribution selection please complete a **Change of Details Form** available from [www.ddhgraham.com.au](http://www.ddhgraham.com.au) or by phoning 1800 226 174.

\*Please note if you wish to start a Regular Savings Plan there is a minimum switch of \$500 per Fund and a minimum application to a new Fund of \$2000 or \$500 if starting a Regular Savings Plan. If you wish to start a Regular Savings Plan please complete the 'Regular Savings Plan-Direct Debit Form' available from [www.ddhgraham.com.au](http://www.ddhgraham.com.au) or by phoning 1800 226 174.

# Switching Form continued

## 3. Applicant acknowledgement and signature(s) ALL APPLICANTS MUST COMPLETE THIS SECTION

### (a) Applicant acknowledgement

I/We agree to be bound by the provisions of the Constitution that governs the Fund/s in which I/we apply to invest as amended from time to time.

I/We have read the Product Disclosure Statement ('PDS') for the DDH Managed Fund/s.

I/We agree that if we use the facsimile service I/we will be bound by the terms and conditions applicable to the facility as set out in the Additional Information Guide, as amended from time to time.

I/We acknowledge that DDH Graham Limited ('DDH') may accept instruction from any one investor in a joint unitholding, unless I/we have specified otherwise.

I/We acknowledge and agree to promptly repay any withdrawal, distribution or other payment notified by the Responsible Entity to me/us which has been made in error.

I/We understand that information concerning me/us collected from any source, including any information:

- contained in this Switching Form
- contained in the AML/CTF Checklist or provided with that form
- relating to the outcome of this form
- relating to my death or insolvency
- which otherwise incorporates any DDH references for any of my/our accounts with DDH may be made available or used by my/our adviser whose details appear on this form, DDH or any entity carrying out functions on behalf of DDH for the purposes of:
- enabling DDH to process my/our application and administer the products or services DDH supplies to me/us
- DDH offering other services or products which may be of interest to me/us unless I/we request in writing that DDH does not do so
- prevention and detection of money laundering and terrorist financing
- verifying my/our identity and customer due diligence purposes, or
- any other purpose authorised by law.

I/We also understand that:

- failure to provide information required in this form may affect
- the success of this switch or any further application I/we may make to DDH.

I/We can amend any personal information that DDH holds about me/us or find out what information DDH holds about me/us, by contacting the Privacy Officer, DDH Graham Limited, GPO Box 330, Brisbane Qld 4001 at any time in writing.

While DDH will take all reasonable steps to protect information that I/we provide, DDH cannot guarantee the security of certain types of information provided by me/us (for example over the internet or by email).

I/We hereby consent for DDH to collect, retain, use, store and disclose such information as detailed before.

I/We hereby certify that I/we am/are not a foreign shell bank (a bank that does not maintain a physical presence in any country).

I/We hereby certify that I/we am/are not a US Person(s) (as defined below) nor do I/we act on behalf of or for the benefit of any US Person and I/we agree to notify DDH immediately if I/we become a US Person.

Further, I/we understand and agree that the Units described in the PDS may not be offered to a US Person (as defined below) and I/we will not, subject to the discretion of DDH, at any time cause my/our Units to be sold or transferred, directly or indirectly to or for the benefit of a US Person.

US Persons include:

- any natural person resident in the United States;
- any partnership or corporation organised or incorporated under the laws of the United States;
- any estate of which any executor or administrator is a US Person;
- any trust of which any trustee is a US Person;
- any agency or branch of a foreign entity located in the United States;
- any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US Person;
- any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated or (if an individual) resident in the United States; and
- any partnership or corporation if (a) organised or incorporated under the laws of any foreign jurisdiction and (b) formed by a US Person principally for the purpose of investing in securities not registered under the US Securities Act of 1933, as amended, unless it is organised or incorporated, and owned, by 'accredited investors' (within the meaning given to such term in Regulation D under the Securities Act) who are not natural persons, estates or trusts.

### (b) Applicant signature(s)

**1st Individual applicant OR director OR office bearer** (company signatories must include their company title)

Capacity (if company)

Director  Sole Director and Sole Secretary

Signature

SIGN HERE

Date signed  /  /

Full name

**2nd Joint individual applicant OR director/secretary OR office bearer** (company signatories must include their company title)

Capacity (if company)

Director  Secretary

Signature

SIGN HERE

Date signed  /  /

Full name

- Companies signing by duly authorised representatives must provide appropriate documentation showing the proper appointment of the representatives to DDH Graham Limited.
- If signed under Power of Attorney, the attorney hereby certifies that no notice of revocation of that power has been received by the attorney.
- For clubs, charities, churches or unincorporated bodies this form must be signed by the authorised office bearers (e.g. A. Smith - President).

Return your completed Switching Form to:

**DDH Graham Limited**  
**Reply Paid 330**  
**Brisbane QLD 4001**